



DSCR Broker Authorization to Investigate Background

Broker must complete this form.

- A. I hereby authorize, in my individual capacity, investigation of all statements in this application and any additional information or other documentation submitted herewith, including verification of my employment (past and present), work performance, abilities, education, personal history and conviction records. _____
(Please Initial)
- B. I hereby authorize RISE TPO, its parent corporation, and/or any subsidiaries (collectively referred to hereinafter as "RISE TPO") to obtain a consumer report from an outside vendor, and hereby release RISE TPO and those individuals providing such information from any liability or damages except where such release is prohibited by regulation or statute. _____
(Please Initial)
- C. I understand that RISE TPO's decision to do business with the company I represent may be conditioned in whole or in part upon my passing RISE TPO's background investigation, which includes verification of my accomplishments and employment history, as well as a review of criminal records and my credit history. _____
(Please Initial)
- D. I hereby certify that, during the past ten years, I have not been convicted of or pled nolo contendere to any crime nor been found liable in a civil or administrative action for wrong acts against banks, credit unions, escrow companies, industrial thrift and loan companies, savings institutions, and/or trust companies. _____
(Please Initial)
- E. I hereby certify that I have read and understand the application submitted herewith, and that all statements and comments set forth in that application are true and complete to the best of my knowledge. I understand that misrepresentation, falsification, or omission of material facts may result in denial of the business relationship contemplated herein. _____
(Please Initial)
- F. Please answer this questionnaire and attach a letter of explanation for each "Yes" response, if any.
1. Have you filed for protection from creditors under any provision or bankruptcy laws? ☐ Yes / ☐ No
 2. Have you had your real estate or other professional license suspended or revoked, or received any other disciplinary action from a regulatory agency? ☐ Yes / ☐ No
 3. Have you ever been named as a defendant in a lawsuit for alleged fraud or misrepresentation? ☐ Yes / ☐ No
 4. Are you currently a party to a lawsuit? ☐ Yes / ☐ No

G. Individual Applicant Information and Signature:

Name: _____ **Title:** _____

Date of Birth: _____ **Social Security Number:** _____

Home Address: _____ **# of Years** _____

City/State/Zip: _____

Please provide addresses for the past seven years (attach separate sheet if necessary).

Prior Address: _____ **# of Years** _____

City/State/Zip: _____

Signature _____

Date _____